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## WP3 Inception

### Output 3.5 Institutional and Informal Framework: Policies, Plans and Initiatives Background

Final Version

Prepared by:

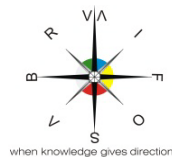


Urban Planning Institute  
of the Republic of Slovenia



Central European Institute  
of Technology ALANOVA

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D3.5 Institutional and Informal Framework:  
Policies, Plans and Initiatives Background



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<b>Project title:</b>	Strategic Territorial Agendas for "Small and Middle-Sized Towns" Urban Systems
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**Dissemination Level**

PU	Public	PU
PP	Restricted to other programme participants (including the Commission Services)	
RE	Restricted to a group specified by the consortium	
CO	Confidential, only for members of the consortium (including the Commission Services)	

**Title:**

Institutional and Informal Framework: Policies, Plans and Initiatives Background

**Author(s)/Organisation(s):**

UIRS, CEIT

Validating the analysis: RUR, MDRT, ISOCARP, INU, IFHP

**Working Group:**

WP3

**References:**

STATUS Final Application Form (INTRA-5108827)

STATUS Project and financial management handbook

**Short Description:**

This activity will mainly focus on major planning instruments that are plans and policies. An in depth analysis of the current working tools, at different institutional level from national planning acts until local urban policies, when present, and regulative instruments are going to be done. It will be a proper screening of policies and plans generating effects on involved territories. Level of integration and capability of making synergy of these different tools is going to be investigated and even the link between policy design and decision makers are going to be examined. This report discuss the incipient stage of policies and plans used in the target area for the Strategic Territorial Agenda.

**Keywords:**

planning instruments, planning tools, institutional framework, administrative structure, spatial planning system, planning acts, policies, plans, strategies, politics

**History:**

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## Abbreviation List

CKP - cities knowledge platform

ST/UA - Strategic Territorial/Urban Agendas

TP – Territorial Partner

SEE – South Eastern Europe

SWOT - Strengths, Weaknesses, Opportunities and Threats

UC – Urban Centers

CM – content manager

# 1 Summary of WP3

The aim of WP 3 as an inception phase is characterized by different activities, analytical and cognitive ones, to achieve the objectives of STATUS project like:

- tackling the problem of incoherent urban and regional development in SEE,
- developing place-based strategies by different participatory planning tools,
- reducing the widening development gap of SEE cities,
- guiding and supporting Territorial Partners to develop a sustainable and Strategic Territorial/ Urban Agendas (ST/UA), Urban Centers (UC) and the SEE cities knowledge platform (CKP).

Different methods of qualitative analyses (like stakeholder analyses in report 3.1.) and SWOT analysis will be used not just in terms of networking but also as an opportunity for achieving a more in depth knowledge of the state of the art of local urban issues. At the end all these activities they will provide a clear framework of available data, current planning tools and pool of local actors characterizing the partner cities planning areas.

**WP 3 Inception consists of two main activities:**

**Activity 3.1.**  
**Collecting data and identifying actors**

**Activity 3.2.**  
**Analysis of current urban plans and policies in involved territories**

## 2 Brief overview of activities

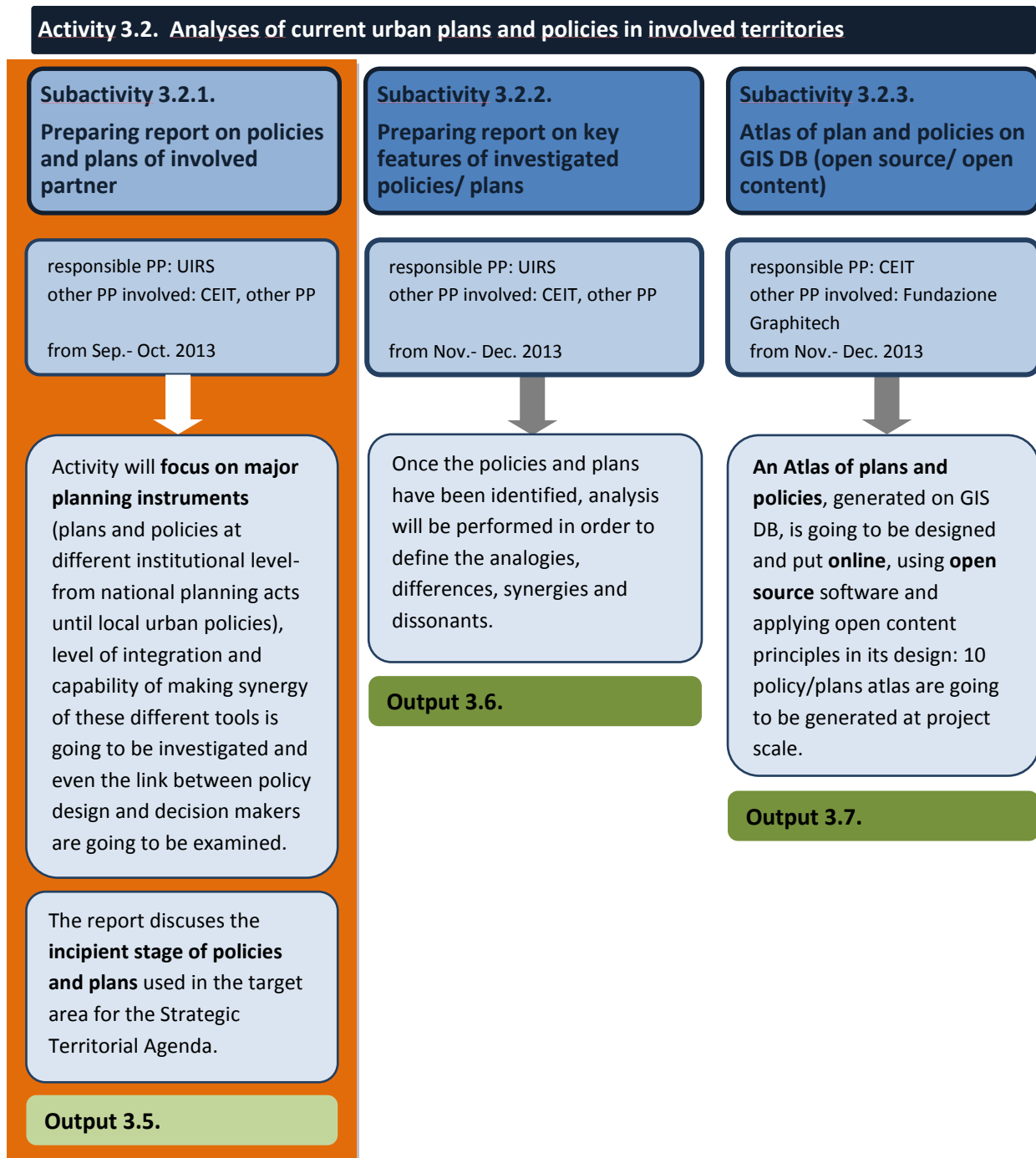


Figure 1: Overview of activity, own graphic



## 3 Introduction

The aim of the report is to represent major planning instruments, like plans and policies, at different institutional level from national planning acts to local urban ones of involved territorial partners in STATUS project. The report represents an inventarisation of policies and plans with generating effects on involved territories. Based on this in the next report (D3.6 Institutional and Informal Framework: Policies, Plans and Initiatives Background) the level of integration and capability of making synergy of these different tools is going to be investigated.

## 4 Methodology and Structure on Territorial Partners' Report

The report is divided into two sections. The first one, prepared by scientific partners UIRS and CEIT with support of the CM, represents the preliminary part with developed methodology and guidelines for the territorial partner reports and the second part (in Annexes) with 10 territorial partners reports.

### Territorial partners:

1. Municipality of Kavala (GR)
2. Municipality of Alba Iulia (RO)
3. Baia Mare Metropolitan Area (RO)
4. Province of Foggia (I)
5. Municipality of Schwechat (AT)
6. Abruzzo Region (I)
7. Municipality of Satu Mare (RO)
8. Municipality of Drama (GR)
9. Municipality of Herceg Novi (MNE)
10. Municipality of Temerin (RS)
11. Municipality of Balti (MD)

### 4.1 The structure of TPs reports

#### 1. Administrative Structure of the TPs State

*the goal of this part of the document was to present the legal administrative structure of their country from national to local level with formal bodies/ representatives and their roles in decision making processes. The description was limited on max. of 2 A4 pages. Any graphic (maps, illustrations, diagrams) were highly recommended.*

#### 2. Planning System of the TPs State

- 2.1. National level
- 2.2. Regional level
- 2.3. Local level

*TPs needed to present the planning system of their country from national to local level with formal bodies/ representatives and their roles in planning decision making processes. The description was limited on max. of 2 A4 pages. Any graphic (maps, illustrations, diagrams) were highly recommended.*

### 3. History of Planning Instruments, Policies and Plans on Different Administrative Levels

- 3.1. National level
- 3.2. Regional level
- 3.3. Local level

*TPs needed to present the planning history, before current legislation and plans of their country from national to local one. The description was limited on max. of 2 A4 pages. Any graphic (maps, illustrations, diagrams) were highly recommended.*

### 4. Current Planning Instruments, Policies and Plans on Different Administrative Levels

- 4.1. National level
- 4.2. Regional level
- 4.3. Local level

*TPs needed to present the planning instruments, policies and plans on different administrative levels from national to local level. The description was limited on max. of 2 A4 pages. Any graphic (maps, illustrations, diagrams) were highly recommended.*

### 5. Impact of the Most Important Plans, Planning Instruments and Policies on the Area of Intervention

*A table for planning documents on different level was prepared where TPs needed to describe positive and negative impact of the document on the area of intervention.*

**Table 1: Detailed description of the impact of the most important plans, planning instruments and policies on the area of intervention**

Administrative level	Name of the document	Define: formal or informal document	Positive impact on the area of intervention	Negative impact on the area of intervention
National level				
Regional level				
Municipal level				

### 6. Do You Already Know If You Will Need to Change Existing or Prepare New Formal Documents? If So, Which Ones?

*The goal of this chapter was to define if any existing planning documents need to be changed or any new to be prepared in order to successfully implement their strategic territorial agenda. This information is important to define implementation timeframe.*

### 7. Additional Information You Feel You Need To Add To Clarify the Current Situation

*In this part, TPs provided additional information which wasn't presented in the above structure of the report and will be useful for further activities of the STATUS project.*

## 5 Conclusions

Territorial partners of STATUS project provided very heterogenic reports of their institutional and informal framework of policies, plans and initiative background. Additional difficulties in preparing simplified comparative analysis as planned in the deliverable 3.6 report will be the fact that areas of intervention are planned at different administrative levels.

## **Annexes**

**ANNEX 1 – Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Kavala (GR)**

**ANNEX 2 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Alba Iulia (RO)**

**ANNEX 3 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Baia Mare Metropolitan Area (RO)**

**ANNEX 4 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Province of Foggia (I)**

**ANNEX 5 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Schwechat (AT)**

**ANNEX 6 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Abruzzo Region (I)**

**ANNEX 7 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Satu Mare (RO)**

**ANNEX 8 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Drama (GR)**

**ANNEX 9 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Herceg Novi (MNE)**

**ANNEX 10 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Temerin (RS)**

**ANNEX 11 - Institutional and Informal Framework: Policies, Plans and Initiatives Background of the Municipality of Balti (MD)**